



## Audit Report

**Project No. 1610600-01**

**6/14/2011**

Mr. Eric Webster  
North East Fasteners Corporation  
8 Tremco Drive  
Terryville, Connecticut  
USA, 06786

**SUBJECT: REGISTRATION PROGRAM**

Dear Mr. Webster:

Please find attached the Audit Report documenting the results of our 12 Month Surveillance Audit of your management system to the ISO 9001:2008 + AS9100B standard conducted at your Terryville facility on June 13, 2011. The Recommendation section of the report provides a status of your registration.

We thank you and your organization for the support and co-operation provided during the audit and if you have any questions, please contact the undersigned.

Best Regards,

David Sanabria  
QMI Team Leader  
Encls.

**QMI-SAI Global Confidential**

**2 Summit Park Drive, Suite 425  
Cleveland, OH USA 44131  
Telephone: 216-654-0900  
Facsimile: 216-654-0889**



## **MANAGEMENT SYSTEM AUDIT REPORT**

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### **REPORT DISTRIBUTION**

North East Fasteners Corporation  
QMI File  
David Sanabria

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## Audit Report

### **SECTION 1 - COMPANY INFORMATION**

**Company Name:** North East Fasteners Corporation  
**Address:** 8 Tremco Drive  
Terryville, Connecticut  
USA, 06786  
**Client No.:** 1610600  
**Contact Person:** Mr. Eric Webster  
**Title:** President

### **SECTION 2 – CB INFORMATION**

**CRB Name:** QMI-SAI Global  
20 Carlson Court Suite 100  
Toronto, Ontario  
Canada M9W 7K6  
**Website:** www.qmi.com  
**Contact Person:** Mr. Roger Ritterbeck  
Aerospace Product Manager  
**Phone:** 216-408-5805  
**Fax:** 216-654-0889  
**Email:** [roger.ritterbeck@qmi-saiglobal.com](mailto:roger.ritterbeck@qmi-saiglobal.com)

### **SECTION 3 – MANAGEMENT SYSTEM AND SCOPE**

**Audit Standard:** ISO 9001:2008 + AS9100B

**US SIC / NACE Codes:** 3452 / DJ28.7

**No. of employees at assessed site: 25**

**Issue/Revision status of the manual (documented system)**

**Rev./Issue Date:** Quality Manual Rev D, 6/2/2010

The management system manual revision was reviewed and found to be in conformance with the requirements of the ISO 9001:2008 + AS9100B standard.

#### **Scope of Registration**

The Registration covers the Quality Management System for manufacturing of fastener for military, aerospace and commercial applications.

**Expiration Date (if applicable): 6/15/2013**

**Statutory and Regulatory Requirements Referenced**

None identified

**Dependency Note**

Not Applicable



## Audit Report

### **SECTION 4 - AUDIT DETAILS AND RESULTS**

**Type of Audit:** 12 Month Surveillance Audit  
**Audit Dates:** June 13, 2011 to June 14, 2011  
**Duration of Audit:** **Person(s):** 1 **Day(s):** 2

#### **Audit Team**

Position	Name
Team Leader	David Sanabria

### **Surveillance Audit**

#### **Executive Overview**

North East Fasteners Corp. is a small company with an excellent upper management team that has demonstrated vision, passion for growth and most important – has empowered the work force to continuously seek improvements, cross train individuals and maintain great interactive communication.

Management is highly involved in the sustainability of Quality concepts and principles. Its direct involvement and total support of groups and individuals has evolved into an effective process / product improvement team.

#### **Recommendation:**

Acceptable

The results of this 12 Month Surveillance Audit indicate that the management system of North East Fasteners Corporation continues to be effectively implemented and meets the requirements of the ISO 9001:2008 + AS9100B standard; therefore, the management system remains registered. Results of the audit will be posted in OASIS in accordance with AS9104.



## Audit Report

### SECTION 5 – AUDIT FINDINGS

#### Non-Conformances (NCRs):

All of the applicable requirements of the ISO 9001:2008 + AS9100B were audited and considered to be adequately implemented with the exception of the non-conformances identified below.

NCR No.	Clause	Description	Final CAR Due Date:
None			

#### Status of any previously recorded non-conformances (NCRs)

No previously recorded or outstanding NCRs.

#### Opportunities for Improvement (OFI)

- None at this time

#### Positive Aspects:

Good interactive procedure / flow gram in the Quality Manual.

- Clean and well organized areas (Good example of 6S).

- Excellent development and usage of "In-House" programs to facilitate analysis of data within all departments / functions.

**Next Scheduled Audit:** 3/12/2012  
**Date(s):** 3/12/2012 to 3/14/2012  
**Type of Audit:** 24 Month Surveillance Audit

**No. of Persons:** 1

**No. of Audit Days Required:** 3.0

David Sanabria  
 QMI Team Leader

**Date:** 6/14/2011



## Audit Report

### Appendix A

### Audit Purpose, Comments and Additional Details

#### General

##### Purpose:

The purpose of the audit was to evaluate the extent of conformance to the referenced standard, confirm the effective inter-action between all elements of the system, and verify demonstrated commitment to maintain the effectiveness of the system. The purpose of this audit report is to summarize the degree of conformance with relevant criteria, as defined on the cover page of this report, based on the evidence obtained during the audit of your organization

Audits are performed in accordance with the requirements of QMI -SAI Global procedures which reflect the requirements and guidance provided in the international standards relating to audit practice such as ISO/IEC 17021, AS9104, ISO 19011 and other normative criteria. QMI-SAI Global Auditors are assigned to audits according to industry, standard or technical competencies appropriate to the organization being audited. Details of such experience and competency are maintained in our records.

In addition to the information contained in this audit report, QMI-SAI Global maintains files containing details of organization size and personnel as well as evidence collected during preliminary and subsequent audit activities relevant to the application for initial certification of your organization. Such information includes details of your primary contact persons and site addresses. Please take care to advise us of any change that may affect the application and/or certification or may assist us to keep your contact information up to date, as required by our Terms and Conditions.

Please note that this report is subject to independent review and approval. Should changes to the outcomes of this report be necessary as a result of the review, a revised report will be issued and will supersede this report.

##### Justifiable Exclusions

The following requirement(s) from Section 7 of the standard has/have been identified in the Quality Manual as exclusions: **7.3**

It was verified during the audit that the exclusions claimed are justifiable, and that their exclusion does not affect the organization's ability, or responsibility, to provide product that fulfills customer and applicable regulatory requirements.

##### Non Justifiable Exclusions

The claimed exclusion of the following requirement(s) of the standard from the company's management system is not considered justifiable: **None**

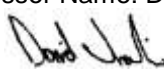
##### Non-Applicability

The following sub-requirements are Not Applicable to this location: **7.5.1.5**

##### Outsourced Processes

The following process have been outsourced: **Plating, Heat treat**

SAE AS9101 Revision C

Audit Report No.: 1610600-01		<b>ASSESSMENT REPORT</b>					
<b>ASSESSMENT RESULT SUMMARY</b>							
<b>Organization: North East Fasteners Corp.</b>							
Clauses*	Result					Observation/Corrective Action Request Number (Ma/mi)	
	S	Ma	mi	N/A	N/E		
<b>4 - Quality Management System</b>							
4.1 General requirements	S						
4.2 Documentation requirements	S						
4.3 Configuration management	S						
<b>5 - Management responsibility</b>							
5.1 Management commitment	S						
5.2 Customer focus	S						
5.3 Quality policy	S						
5.4 Planning	S						
5.5 Responsibility, authority and communication	S						
5.6 Management review	S						
<b>6 - Resource management</b>							
6.1 Provision of resources	S						
6.2 Human resources	S						
6.3 Infrastructure					x		
6.4 Work environment					x		
<b>7 - Product realization</b>							
7.1 Planning of product realization					x		
7.2 Customer-related processes	S						
7.3 Design and development				x		Exclusion	
7.4 Purchasing	S						
7.5 Production and service provision					x	7.5.1.5 Excluded	
7.6 Control of monitoring and measuring devices					x		
<b>8 - Measurement, analysis and improvement</b>							
8.1 General	S						
8.2 Monitoring and measurement					x		
8.3 Control of nonconforming product					x		
8.4 Analysis of data	S						
8.5 Improvement	S						
Assessed Organization	16	0	0	1	7	Assessing Company	
Management Rep. name: <b>Desiree Furnari</b> Signature: Desiree Furnari (on file)	<b>Results</b>					Lead Assessor Name: David Sanabria Signature: 	

\* For each clause, indicate with an "X" the results of assessment: "S" for Satisfactory, "Ma" for major corrective action, "mi" for minor, "N/A" for not applicable, or N/E for not evaluated.

**SAE AS9101 Revision C**

Audit Report No.: 1610600-01		<b>ASSESSMENT SCORING</b>					
Organization: North East Fasteners Corp.		Result					
	SCORING CHART	Major CAR or minor CAR on Key requirement		Minor CAR on <u>non</u> Key requirement		NO CAR	RESULT
		(Col. A)	(Col. B)	(Col. C)	(Col. D)		
		Multiple findings	Single finding	Multiple findings	Single finding		
<b>4</b>	<b>Quality management system</b>					<b>(100)</b>	
4.1	General requirements	0	10	25	40	50	50
4.2 & 4.3	Documentation requirements & Configuration management	0	10	<b>25</b>	40	50	50
<b>5</b>	<b>Management responsibility</b>					<b>(150)</b>	
5.1	Management commitment	0	5	15	20	30	30
5.2	Customer focus						
5.3	Quality policy						
5.4	Planning	0	10	20	30	40	40
5.5	Responsibility, authority and communication	0	5	15	20	30	30
5.6	Management review	0	10	25	40	50	50
<b>6</b>	<b>Resource Management</b>					<b>(100)</b>	
6.1	Provision of resources	0	10	25	40	50	50
6.2	Human resources						
6.3	Infrastructure						
6.4	Work environment	0	10	25	40	50	50
<b>7</b>	<b>Product realization</b>					<b>(450)</b>	
7.1	Planning of product realization	0	5	15	20	30	30
7.2	Customer-related processes	0	10	30	50	60	60
7.3	Design and development						
7.3.1	Design and development Planning	0	5	15	20	30	N/A
7.3.2-3-4	Inputs, outputs & review	0	5	15	20	30	N/A
7.3.5-6	Design and development verification & validation	0	5	15	20	30	N/A
7.3.7	Control of design and development changes	0	5	15	20	30	N/A
7.4	Purchasing	0	10	30	50	60	60
7.5	Production and service provision						
7.5.1	Control of production and service provision	0	10	25	40	50	50
7.5.2	Validation of processes for production and service provision	0	10	20	30	40	40
7.5.3	Identification and traceability	0	10	20	30	40	40
7.5.4-5	Customer property & Preservation of product	0	5	15	20	30	30
7.6	Control of monitoring and measuring devices	0	5	10	15	20	20
<b>8</b>	<b>Measurement, analysis and improvement</b>					<b>(200)</b>	
8.1	General	0	5	10	15	20	20
8.2	Monitoring and measurement						
8.2.1	Customer satisfaction	0	5	10	15	20	20
8.2.2	Internal audit	0	5	15	<b>20</b>	30	30
8.2.3	Monitoring and measurement of processes	0	5	15	20	30	30
8.2.4	Monitoring and measurement of product	0	5	15	20	30	30
8.3	Control of nonconforming product	0	5	<b>15</b>	20	30	30
8.4	Analysis of data	0	5	10	15	20	20
8.5	Improvement	0	5	10	15	20	20

The assessed organization agrees on the quality management system scoring and corrective action requests			Total Points Possible	<b>880</b>
Name of Representative: <b>Desiree Furnari</b>			Total Points Achieved	<b>880</b>
Signature: <b>Desiree Furnari (on file)</b>		Date: <b>6/14/2011</b>	Score (pts achieved/pts possible) X 100	<b>100%</b>